

2010-11 State Legislative Priorities for New York's Independent Sector of Higher Education

■ Fund Students First:

- Tuition Assistance Program (TAP)
- Grad TAP
- Scholarships for Academic Excellence
- Direct Institutional ("Bundy") Aid
- Arthur O. Eve Higher Education Opportunity Program
- Collegiate Science and Technology Entry Program (C-STEP)
- Liberty Partnerships Program
- New York Higher Education Loan Program (NYHELPS)

■ Support New York's "Anchor Tenants:"

- Fund college and university research to generate economic development
- Create a matching grant program for independent colleges and universities for energy efficiency and conservation





Meeting the Challenge

“By 2020, America will once again have the highest proportion of college graduates in the world.”

Barack Obama, President, United States of America, February 24, 2009

If we are to achieve our national goal of boosting the percentage of our population that holds a college degree, we must give individuals the ability to access higher education. This academic year marks the 35th anniversary of the Tuition Assistance Program (TAP) and the 40th for the Arthur O. Eve Higher Education Opportunity Program (HEOP). Other important programs that support student access, such as Direct Institutional (“Bundy”) Aid, also began decades ago. Still others, such as the New York Higher Education Loan Program (NYHELPS), are evidence of the state’s ongoing commitment to helping students earn their college degrees.

Millions of New Yorkers have been able to attend and graduate from college with support from state-funded student aid programs. Empowering even more students to achieve a higher education requires two core investments in these challenging fiscal times:

1. Fund students first.
2. Support colleges and universities in their role as “anchor tenants.”

Fund Students First

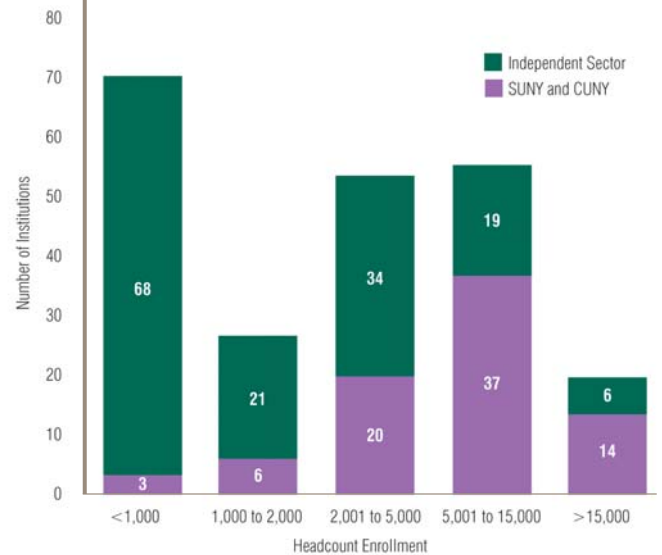
A college education is transformative. Student aid programs such as TAP, HEOP, and Bundy Aid give students the chance to attend the college or university that best suits them. For many, this means joining a smaller campus community where personal attention and guidance is the key to completing a degree program. Eighty-nine independent colleges enroll fewer than 2,000 students.

In these pages, we’ll introduce you to just a few of the millions of New Yorkers who have achieved their college aspirations with the help of state student aid programs. For more stories, see: www.cicu.org/successstories/studentprofiles.php.



Dan Breit

Two-year and Four-year Colleges and Universities Ranked by Enrollment, Fall 2008



Source: New York Education Department, Office of Research and Information Systems. C-91 01.07.10

Tuition Assistance Program (TAP)

“My future depended on TAP. My mother is a single parent who sent two kids to college.” — Sara, who worked while in college and also during breaks.

“I’m the Obama of my neighborhood.” — Lashawn, who once served time in jail, commenting on how higher education is making him a role model at home in Manhattan. He is now studying history, government, and economics with support from TAP and HEOP.

Restore \$71.4 million—cicu seeks restorations for a number of funding cuts proposed in the Executive Budget, including:

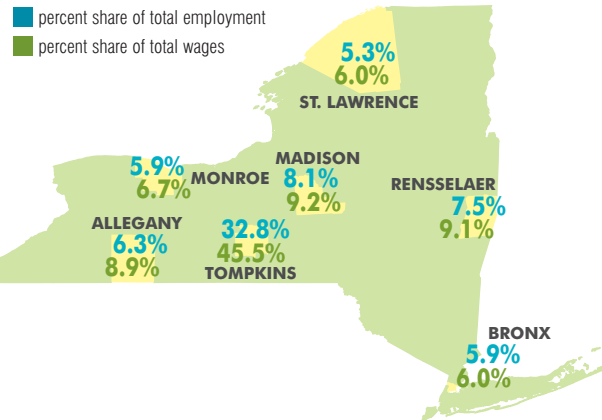
- Eliminate the proposed \$75 TAP award cut for all students.
- Restore the maximum TAP award for 2-year degree seekers at 2-year colleges to \$5,000 from \$4,000.
- Restore Graduate TAP awards, approximately \$380 per student.



Four million New Yorkers have realized their college aspirations with TAP awards. Most TAP funds (58%) go to families earning less than \$20,000 annually. More than 8,000 students count on Grad TAP to cover tuition expenses for master’s and other advanced degrees. At a time when the federal government is boosting its commitment to Pell Grants, the maximum TAP has stagnated.



Counties with Highest Concentration of Private Education, Employment and Wages in 2007



Source: New York State Department of Labor, October 2008. C-67, 11.12.09

Opportunity Programs

The Executive Budget proposes funding levels for HEOP, C-STEP and Liberty Partnerships equal to current year funding. These programs, strongly supported by the Legislature across decades, are having a measurable effect on the number of minority and low-income students who are prepared for and complete their college degrees.

- Arthur O. Eve Higher Education Opportunity Program (HEOP).** HEOP students, who do not meet the traditional criteria when they are admitted, typically earn their degrees at rates that equal or exceed other students. Three in four HEOP students are Black or Hispanic, and 80% of HEOP freshmen come from families earning less than \$21,150 annually. Though program guidelines require a \$0.15 match for every state dollar, participating campuses match each program dollar with \$4, on average.



- Collegiate Science and Technology Entry Program (C-STEP).** C-STEP focuses on increasing the enrollment and retention of under-represented and economically disadvantaged students in programs leading to scientific, technical and health-related careers. Twenty percent of C-STEP students enroll in graduate or professional programs.

- Liberty Partnerships Program.** The Liberty Partnerships Program works to decrease New York's high school dropout rate. Participating colleges partner with area high schools to provide "at risk" students with tutoring, mentoring, college awareness, academic counseling, and home visits. Each year, 1,500 of Liberty high school graduates go on to college.

New York Higher Education Loan Program (NYHELPS)

Maintain support for NYHELPS, which offers students and families a lower-cost way to borrow after they make use of other forms of institutional student aid and state and federal assistance (excluding the PLUS loan).

Scholarships for Academic Excellence

Restore \$4.8 million to provide scholarships to a new group of top high school graduates who plan to stay in New York State for their higher education. Each year, up to 2,000 scholarships go to the top graduating scholar for each high school. An additional 6,000 scholarships are awarded to other outstanding high school graduates.

Direct Institutional ("Bundy") Aid

"If this aid were not available to me, I would not be able to afford my tuition. I am almost in my final year, and my biggest fear is not completing my degree because I lack the financial support." — Nicole, who receives a college-funded grant through "Bundy" Aid.

Restore \$700,000 — Direct Institutional ("Bundy") Aid supplements state and federal financial aid to ensure that students can meet their college expenses. Most colleges and universities—educating 81% of the students enrolled at eligible campuses—use Bundy Aid for student financial aid.

One in three New Yorkers (27%) enrolled full-time at an independent college or university comes from a family that earns less than \$40,000 annually, and one in nine (11%) have a family income below \$20,000. These percentages are comparable to the student population attending State University campuses.



Support New York’s “Anchor Tenants”

Private colleges and universities collectively contribute more than \$47.5 billion to the state’s economy each year and directly employ 180,000 individuals. Our role as stable employers is essential, especially in Upstate New York, where colleges and universities are “anchor tenants” for hundreds of city neighborhoods, towns, and villages. For example, in Madison County, private education accounts for one in every 12 jobs (8.1%) and 9.2% of total wages. Overall, higher education and health care are the only two sectors of the state’s economy still showing employment growth.

Today, Cornell University and the University of Rochester are two of the state’s 10 largest employers, replacing former manufacturing mainstays such as Grumman Aerospace and General Motors. In Rochester, for example, the University of Rochester has surpassed Kodak and Xerox to become the largest employer in Monroe County.

- Restore \$2 million for the Technology Transfer Incentive Program to assist colleges and universities in bringing innovations from the research lab to the marketplace. (Total funding: \$2.9M)
- Restore \$1.9 million for the Faculty Development Program to help colleges and universities attract world-class scientists and researchers. (Total funding: \$2.7M)



“Greening” New York’s Campuses

Colleges and universities are natural partners in state efforts to improve energy efficiency and develop renewable resources. Spurred by student interest, a number of independent colleges and universities already are making use of innovative energy systems in their buildings; others are researching and developing new energy technologies for homes and businesses; and even more are reaching out to their communities to heighten awareness of energy use and sustainability. Further, many campuses regularly seek LEED (Leadership in Energy and Environmental Design) certification for their building and renovation projects.

The Independent Sector of higher education has already demonstrated that modest state support can leverage significant non-state sources of funding for capital projects—and create jobs. Through the \$150 million Higher Education Capital Matching Grants (HE Cap) Program, participating campuses have matched \$9 for every \$1 in state support and created more than 15,000 jobs.

To build on the success of the HE Cap Program and the federal government’s efforts to reduce energy consumption, clcu proposes a new capital matching grant program to promote energy conservation and efficiency. Such a targeted investment will help reduce the state’s carbon footprint, boost economic activity, and create thousands of jobs.



10 Largest Private Sector Employers in New York State (alphabetical order)

1964	1984	2009
A & P Corp.	Chase Manhattan	Cornell University
Consolidated Edison	Consolidated Edison	Golub Corp. Price Chopper
Eastman Kodak	Eastman Kodak	IBM Corp.
General Electric	First National City Bank	Kaleida Health
General Motors	General Electric	Montefiore Medical Center
Grumman Aerospace	General Motors	New York Presbyterian Hospital
IBM Corp.	Grumman Aerospace	University of Rochester
Metropolitan Life	IBM Corp.	Verizon
New York Telephone	Manufacturers Hanover	Wal-Mart
Sperry-Rand	New York Telephone	Wegmans

Source: New York State Department of Labor, 1964-2004, Quarterly Census of Employment and Wages; New York State Department of Economic Development, 2009 data from a variety of on-line sources. C-92 01.06.10

Fund College and University Research to Generate Economic Development

To encourage collaboration between higher education and industry, New York State should continue to invest in proven programs, and expand creative incentives:

- Support \$25 million for the New Technology Seed Fund for university-based entrepreneurs to develop proof-of-concept products and services.



Adelphi University
 Albany College of Pharmacy
 and Health Sciences
 Albany Law School
 Albany Medical College
 Alfred University
 American Academy McAllister
 Institute
 Bank Street College of Education
 Bard College
 Barnard College
 Boricua College
 Bramson ORT College
 Brooklyn Law School
 Canisius College
 Cazenovia College
 Clarkson University
 Cochran School of Nursing
 Colgate University
 College of Mount Saint Vincent
 The College of New Rochelle
 The College of Saint Rose
 Columbia University
 Concordia College
 The Cooper Union
 Cornell University
 Crouse Hospital School
 of Nursing
 The Culinary Institute of America
 D'Youville College
 Daemen College
 Dominican College
 Dorothea Hopfer School
 of Nursing
 Dowling College
 Elmira College
 Excelsior College
 Fordham University
 Hamilton College
 Hartwick College
 Helene Fuld College of Nursing
 Hilbert College
 Hobart and William Smith Colleges
 Hofstra University
 Houghton College
 Institute of Design and
 Construction
 Iona College
 Ithaca College
 Jewish Theological Seminary
 Keuka College
 The King's College
 Le Moyne College
 Long Island College Hospital
 School of Nursing
 Long Island University
 Manhattan College
 Manhattan School of Music
 Manhattanville College
 Maria College
 Marist College
 Marymount Manhattan College
 Medaille College
 Memorial Hospital School
 of Nursing
 Mercy College
 Metropolitan College of New York
 Molloy College
 Mount Saint Mary College
 Nazareth College
 The New School
 New York Chiropractic College
 New York College of Podiatric
 Medicine
 New York Institute of Technology
 New York Medical College
 New York School of Interior Design
 New York University
 Niagara University
 Nyack College
 Pace University
 Paul Smith's College
 Phillips Beth Israel School
 of Nursing
 Polytechnic Institute of NYU
 Pratt Institute
 Rensselaer Polytechnic Institute
 Richard Gilder Graduate
 School at the American
 Museum of Natural History
 Roberts Wesleyan College
 Rochester Institute of Technology
 The Rockefeller University
 The Sage Colleges
 Samaritan Hospital School
 of Nursing
 Sarah Lawrence College
 Siena College
 Skidmore College
 St. Bonaventure University
 St. Elizabeth College of Nursing
 St. Francis College
 St. John Fisher College
 St. John's University
 St. Joseph's College
 St. Joseph's College of
 Nursing at St. Joseph's
 Hospital Health Center
 St. Lawrence University
 St. Thomas Aquinas College
 Syracuse University
 Teachers College, Columbia
 University
 Touro College
 Trocaire College
 Union College
 Union Graduate College
 University of Rochester
 Utica College
 Vassar College
 Vaughn College of Aeronautics
 and Technology
 Villa Maria College of Buffalo
 Wagner College
 Watson School of Biological
 Sciences at Cold Spring
 Harbor Laboratory
 Webb Institute
 Wells College
 Yeshiva University

Commission on Independent Colleges and Universities

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OPPORTUNITY

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